

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. 03650.002191		APPLICATION NO. 10/866,787		
			APPLICANT YOSHIMASA OKAMURA		FILING DATE 9/19/03		
			FILING DATE Herewith		GROUP 3700		
U.S. PATENT DOCUMENTS							
*EXAMINE R		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Cja		4,971,632	11/20/1990	Rowe	136	212	
Cja		4,983,225	01/08/1991	Rowe	136	201	
Cja		5,286,304	02/15/1994	Macris, et al.	136	201	
Cja		5,837,929	11/17/1998	Adelman	136	225	
Cja		5,921,087	07/13/1999	Bhatia, et al.	62	3.2	
Cja		6,127,619	10/03/2000	Xi, et al.	136	203	
Cja		6,207,887	03/27/2001	Bass, et al.	136	201	
Cja		6,214,738	04/10/2001	Alba, et al.	438	707	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
Cja		63-20880	01/28/1988	JAPAN			Abstract
Cja		11-298051	10/29/1999	JAPAN			Abstract
Cja		2001-282396	10/12/2001	JAPAN			Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
Cja		U.S. Patent Publication No. US 2001/0001961 A1					
Cja		U.S. Patent Publication No. US 2003/0041892 A1					
Cja		U.S. Patent Publication No. US 2003/0047204 A1					
EXAMINER	C.J. -Arbes		DATE CONSIDERED 2/24/05				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 3

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. 03650.002191	APPLICATION NO. 80/666,787			
			APPLICANT YOSHIMASA OKAMURA				
			FILING DATE 7/19/03 Herewith	GROUP 3700			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Ga</i>		6,231,744	05/15/2001	Ying, et al.	205	324	
<i>Ga</i>		6,232,542	05/15/2001	Hiraishi, et al.	136	201	
<i>Ga</i>		6,359,288	03/19/2002	Ying, et al.	257	14	
<i>Ga</i>		6,441,295	08/27/2002	Hiraishi, et al.	136	201	
<i>Ga</i>		6,441,296	08/27/2002	Hiraishi, et al.	136	201	
<i>Ga</i>		6,605,772	08/12/2003	Harman, et al.	136	236.1	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OTHER DOCUMENT(S) (Including Author, Tide, Date, Pertinent Pages, Etc.)							
		<i>"Introduction to Thermoelectrics"</i> , website, http://www.thermoelectrics.com/introduction.htm , site visited July 28, 2003.					
<i>Ga</i>		<i>M.S. Dresselhaus, et al., "The Promise of Low Dimensional Systems for Thermoelectric Applications,"</i> website, http://www.osti.gov/fcvtdarpa2002/mdresselhaus.pdf , site visited September 18, 2003, by applicant					
<i>Ga</i>		<i>"THERMOELECTRICITY"</i> website, http://www.nanotherm.org/public_main.htm , site visited July 28, 2003, by applicant					
<i>Ga</i>		<i>"Stacy Group" Stacy Group Thermoelectrics Research,</i> website, http://www.cchem.berkeley.edu/~amsgrp/thermoelectrics.html , site visited August 29, 2003,					
EXAMINER	<i>CST Arbes</i>		DATE CONSIDERED <i>2/29/05</i>		<i>by applicant</i>		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. 03650.002191	APPLICATION NO. 10/666,787			
APPLICANT YOSHIMASA OKAMURA			FILING DATE <i>9/19/03</i> <i>Herewith</i>	GROUP 3700			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>CJ</i>		“Design of Advanced Materials” Stacy Group Home, website, http://www.cchem.berkeley.edu/~amsgrp/index.html , site visited July 28, 2003, by applicant					
<i>CJ</i>		“Eneco Technology” r-layout2, website, http://www.eneco-usa.com/technology/content.htm , site visited July 28, 2003, by applicant					
<i>CJ</i>		Marlow Industries Inc.” thermoelectric manufacturer Marlow Industries inc., website, http://www.marlow.com/ , site visited July 28, 2003, by applicant					
<i>CJ</i>		Hi-Z Technology” Hi-Z TECHNOLOGY, INC., website, http://www.hi-z.com/ , site visited July 28, 2003, by applicant					
<i>CJ</i>		“TELLUREX Z-Max thermoelectric modules for power generation...” Tellurex Corporation, website, http://www.tellurex.com/ , site visited July 28, 2003, by applicant					
<i>CJ</i>		“A Quantum Leap in Power Generation” Power Chips plc, website, http://www.powerchips.gi/ , site visited July 28, 2003, by applicant					
<i>YH</i>		“The Science Behind Power Chips™, A Basic Introduction” Power Chips plc, website, http://www.powerchips.gi/technology/overview.shtml , site visited July 28, 2003, checked by applicant					
<i>CJ</i>		M.S. Dresselhaus, et al., “INVESTIGATION OF LOW-DIMENSIONAL THERMOELECTRICS”, <i>Symposium Proceeding, Technomic Publishing Co.</i> , pp. 1-13, checked by applicant					
EXAMINER <i>C.J. Arbes</i>			DATE CONSIDERED <i>1/24/05</i>				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 3